

THE FINANCIAL SERVICES ROUNDTABLE



Financing America's Economy

FINANCIAL SERVICES INDUSTRY JAPAN RELIEF EFFORTS Report as of April 26, 2011

In response to the March 11, 2011 earthquake in Japan, member companies of the Financial Services Roundtable responded in full force. The following report summarizes the efforts of our member companies to help Japan.

In summary, 39 member companies:

- Contributed over \$35 million towards Japan disaster relief
 - Companies directly committed \$31.7 million
 - Total employee contributions and company matches were approximately \$4,435,291.
- Waived transaction fees on electronic payments.
- Offered services at branches to allow customers to make donations.
- Established text numbers to make donations.
- For the first time ATMs were used to accept donations from customers for relief efforts

Top recipients of donations include The Red Cross (of the U.S., Canada, Japan, etc.), Save the Children, Salvation Army, and the Matthew 25 Ministries.

The current report details data from 39 of 101 Roundtable member companies.

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The Financial Services Roundtable represents 100 of the largest integrated financial services companies providing banking, insurance, and investment products and services to the American consumer. Member companies participate through the Chief Executive Officer and other senior executives nominated by the CEO.

Roundtable member companies provide fuel for America's economic engine, accounting directly for \$ \$92.7 trillion in managed assets, \$1.2 trillion in revenue, and 2.3 million jobs.

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MEMBER COMPANY	DIRECT COMMITMENT	ADDITIONAL DETAILS	EMPLOYEE CONTRIBUTION	ANTICIPATED COMPANY MATCH	COMPANY MATCH DESCRIPTION
AEGON		Aegon donations will be given to the Japanese Red Cross.	\$76,000	76,000	Aegon is matching all employee contributions
Allstate		Allstate is funneling employee donations to the American Red Cross	\$84,073	\$12,611	Allstate's company percentage match is part of our annual corporate giving campaign.
Associated Bank			\$35,080.00	\$35,080.00	Associated Bank addressed the disaster with a dollar for dollar challenge to our colleagues.
Assurant	\$50,000	Assurant employees who wish to make personal contributions to eligible 501(c)3 organizations also can submit a request, beginning in July 2011, for a matching gift up to the limit of \$1,000 per employee per year.			Assurant is matching of employees up to \$1000 per employee.

Bank of the West	\$100,000	<p>The Bank is providing \$100,000 in direct support to relief and recovery efforts and encouraging team members to consider personal contributions that will be matched up to an additional \$100,000. Bank of the West is waiving fees for its customers on money transfers from the U.S. to Japan. Customers can make transfers from any Bank of the West branch through April 30, 2011. Bank of the West will donate \$50,000 to the Japan Society of Northern California's Japan Earthquake and Tsunami Relief Fund and \$50,000 to the Japan America Society of Southern California's 2011 Japan Relief Fund..</p>		100,000	<p>The Bank is encouraging team members to contribute to non-profit relief efforts and has set aside \$100,000 to match individual donations of up to \$1,000 per team member.</p>
Barclays	\$2,400,000	<p>The firm is making an immediate donation to the British Red Cross, which is supporting the Japanese Red Cross in disaster relief efforts. As a full assessment of the situation is made, Barclays will ensure that the remainder of its donation is directed through the Red Cross and other non governmental organisations to support the country's long-term recovery.</p>			

BB&T	\$100,000	BB&T is making an immediate \$100,000 donation to Project Hope, which will be directly helping with relief efforts delivering medicines and supplies to the areas in Japan affected by the earthquake and tsunami.			
BNY Mellon	\$770,000	BNY Mellon is making a contribution to the International Federation of Red Cross and Red Crescent Societies to aid in its efforts to help northeast Japan recover from extensive damage caused by the recent earthquake and tsunami.			The company has created an online facility for employees to make donations toward disaster relief efforts for the Japan earthquake and tsunami which the company will match.
Capital One		Capital One customers can support relief efforts via the Capital One No Hassle Giving site: www.capitalone.com/give and make a donation to one of the relief organizations listed there using their Capital One credit or debit card.			

Citi	\$2,400,000	<p>All monies go to Japanese Red Cross Society• U.S. Consumer and Commercial Banking is waiving fees on wire transfers going from the U.S. to Japan until April 5, 2011. In addition, the penalty will be waived for closing or withdrawing funds from a CD during its term for customers who use those funds to send financial support to Japan, effective through April 5, 2011.</p> <p>Calls to Citi Cards customer service centers are being routed to escalation units that have authorization to act on an account by account basis, for example, to assist customers with emergency cash or credit line increase requests.</p> <p>Customers can redeem their Citi ThankYou Points for a contribution to the American Red Cross Disaster Relief Fund, with donations beginning at \$25.00.</p>	\$600,000		
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Discover	\$100,000	<p>The company is also making a \$100,000 donation on behalf of our JCB and Diner's Club Japan colleagues.</p> <p>Cardmembers can make donations to the National American Red Cross through our Cashback Bonus program or donate to the American Red Cross using their Discover Card. This can be done via our website at Discover.com or over the phone.</p>			<p>We are giving employees an option to donate on our internal giving site to the National American Red Cross in which Discover will match employee contributions dollar-for-dollar.</p>
Fifth Third Bancorp	\$100,000	<p>\$25,000 to Matthew 25 Ministries, and \$75,000 to the American Red Cross for disaster relief.</p>			
First Horizon		<p>Citizens across Tennessee have also been invited to make contributions to the Tennessee Tomodachi Fund, a charitable account set up by the Japan-American Society of Tennessee (JAST) through First Tennessee Bank.</p>			<p>The First Tennessee Foundation is matching 50 cents on the dollar the contributions of its employees to nonprofit organizations supporting the relief efforts in Japan.</p>
First Niagara		<p>First Niagara has partner with the American Red Cross to help collect money for the Japan Relief Effort. We will officially start the campaign on Monday, March 21st.</p>			

GE	\$5,000,000	GE made a company-wide commitment of \$5 million (approximately 4.1 billion JPY) in cash, equipment and services toward relief in the coming weeks and months.			100% employee match to qualifying organizations
Harris Bank	\$100,000	\$100,000 to support the Red Cross Japan Earthquake Asia Pacific Tsunami Appeal. Those wishing to make a contribution to the Red Cross can do so at BMO Bank of Montreal branches in Canada and Harris Bank branches in the United States from March 15 to April 15, 2011. As well, in order to assist customers who wish to send drafts or fund transfers directly to relatives or other affected individuals or agencies in Japan, BMO and Harris are waiving fees related to these transactions until April 15, 2011.			Harris Bank matching gifts at the rate of \$0.50 on the dollar.
HSBC	\$240,000	HSBC donated JPY20 million (about US\$240,000) for Japan Red Cross.			HSBC in the US is matching personal donations from employees to various disaster relief organizations.

<p>ING Group</p>	<p>\$71,120</p>	<p>\$71,120 from the company's Disaster Relief Fund to the Japanese Red Cross relief and recovery efforts. ING Group has created a dedicated employee donation platform for ING employees worldwide to make contributions. The donations received will be transferred 100% to the Japanese Red Cross. Local ING businesses may also be initiating matching programs, collecting cash donations and supporting their own fundraisers to supplement ING Group's global effort.</p>		<p>\$50,000</p>	<p>Local ING businesses may also be initiating matching programs, collecting cash donations and supporting their own fundraisers to supplement ING Group's global effort. For example, ING's U.S.-based business will be matching up to \$50,000 in employee donations made via the ING Group donation platform.</p>
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John Hancock	\$100,000	<p>We will direct \$100,000 to support the Red Cross in providing aid to the areas of Japan most affected by the disaster. In addition the Company is setting up sites around the world and mechanisms for employees to make their own contributions. The Company will match employee donations dollar for dollar. In addition the Company is setting up sites around the world and mechanisms for employees to make their own contributions. The Company will match employee donations dollar for dollar. A minimum of \$1 million will be donated</p>			John Hancock will match contributions dollar for dollar
JPMorgan Chase	4,000,000	<p>\$1.1 million, which has been already been pledged by JPMorgan Chase Japan. \$1 million to match contributions to The American Red Cross and World Vision by the bank's more than 220,000 employees, including more than 1,300 in Japan. The balance of the \$5 million commitment will be used to support charitable and relief organizations helping with for the recovery efforts.</p>		1,000,000	JPMorgan Chase is matching contributions.

LPL Financial		LPL Financial is launching a disaster relief campaign to allow our employees and our customers to donate funds to the relief effort in Japan. Funds donated to one of three designated charities (Red Cross, UNICEF, or Save the Children) will be matched dollar-for-dollar up to \$5,000 per person.			LPL Financial is matching dollar for dollar up to \$5,000
MasterCard	\$250,000	Interchange fees on Japanese relief donations that are made using U.S. issued MasterCard cards to the following organizations will be waived: American Red Cross, AmeriCares, Doctors Without Borders, International Rescue Committee, Save the Children and World Vision.			MasterCard is double match employee contributions to specific organizations
New York Life	\$100,000	New York Life will donate \$100,000 to the Red Cross relief for Japan.			
Northwestern Mutual	\$125,000	\$125,000 donation to the American Red Cross to provide immediate relief to citizens in the aftermath of the large-scale earthquake and tsunami that recently struck Japan.			

PNC Foundation	\$100,000	PNC Foundation will make a direct donation of \$100,000 to the American Red Cross International Services—Response to Japan Earthquake and Tsunami		\$100,000	<p>PNC foundation will match dollar-for-dollar (up to \$100,000 total) full-time PNC employee gifts to the following three organizations:</p> <p>American Red Cross International Services—Response to Japan Earthquake and Tsunami Mercy Corps—Response to Japan Earthquake and Tsunami US Fund for UNICEF—Response to Japan Earthquake and Tsunami</p>
Prudential	6,100,000	Prudential will donate \$6,100,000 to the Japan relief efforts.			Prudential is Matching Dollar for Dollar

RBC	\$100,000				<p>Between March 15 and April 15, 2011, employees could make a donation to the following: the Red Cross, Oxfam and Save the Children. These gifts will be matched up to \$160 per employee.</p>
RBS		<p>RBS Americas/Citizens Financial Group has made special arrangements to increase the impact of employee donations to the relief effort</p>			<p>Between March 15 and April 15, 2011, employees across the company can make a donation to one of three charities already working to help provide first aid and healthcare to the communities affected: the Red Cross, Oxfam and Save the Children. These gifts will be matched up to \$160 per employee.</p>

		Regions Bank is accepting donations at all of its 1,800 bank branches to help those affected by this catastrophic natural disaster. Regions Bank is partnering with the American Red Cross to make donating to the relief effort easy. Customers and concerned individuals can visit any Regions Bank branch location to make a tax-deductible donation to the American Red Cross for Japan Earthquake and Pacific Tsunami Relief.			
Regions State Farm	\$1,000,000				
State Street	\$600,000	State Street will give a \$500,000 grant to the Red Cross to assist in the relief and recovery efforts. \$100,000 matching gift program for employees that will be administered by Give 2 Asia		\$100,000	State Street is matching gift program for employees that will be administered by Give 2 Asia (up to \$100,000)

Swiss Re	278,132.36	<p>We have split our donations between two trusted organisations: The Swiss Red Cross together with REDOG are members of the Swiss Rescue chain of which a team was deployed to Japan on the day following the earthquake/tsunami (View Red Cross relief efforts) The Save-the-Children Fund has been operating in Japan since 1986. Humanitarian response teams are in Sendai, a city close to the epicentre, conducting assessments of children's needs and preparing to launch child protection and psycho-social support activities. (CHF 250,000 conversion)</p>	\$189,400		<p>In the Americas region, many Swiss Re offices have established collection efforts and encouraged employees to donate directly to the American Red Cross; donations of \$50 and above will be matched by Swiss Re's Matching Grant program.</p>
TD	\$100,000.00	<p>TD has donated \$100,000 to the Red Cross to assist victims of the earthquake and tsunami that hit Japan.</p>			
The Hartford	\$100,000	<p>The Hartford Financial Services Group, Inc. made a \$100,000 contribution to the American Red Cross' disaster relief effort. We are also encouraging employees to contribute to relief efforts by visiting the Red Cross website or by texting REDCROSS to 90999 to make a \$10 donation.</p>			

Union Bank	\$6.3 million, \$250,000	Union Bank/Bank of Tokyo-Mitsubishi UFJ donated in total: (BTMU): \$7,409,000 BTMU: \$6.3 million BTMU Americas employees and company match: \$607,000 Union Bank Foundation donated \$250,000 and matched Union Bank employees with \$252,000	BTMU: Employees: \$201,579 Members: \$22,350 Union: \$101,000	BTMU: \$401,118 Union: \$151,000	
Unum	\$100,000	Corporate Unum will donate \$100,000 to the following organizations: American Red Cross - \$40,000 British Red Cross - \$10,000 Salvation Army - \$50,000 Unum will be matching gifts 2 to 1: (\$100,000 total) American Red Cross British Red Cross Salvation Army Save the Children OXFAM		\$100,000	Unum is matching 2 to 1 up to \$100,000
U.S. Bancorp	\$100,000	U.S. Bancorp Foundation will be contributing \$100,000 to the American Red Cross in support of disaster relief efforts in Japan			U.S. Bancorp is matching dollar for dollar up to \$100,000

<p>Visa</p>	<p>\$250,000</p>	<p>Visa is making a contribution of US\$250,000 to the Red Cross Japan Earthquake and Pacific Tsunami fund to support immediate relief and recovery efforts. In conjunction with our clients, Visa is waiving interchange fees on donations made through April 30, 2011, to a select group of major charitable organizations that are providing support to relief efforts in Japan. Visa also will donate all of our own revenue generated from eligible contributions directly to the Red Cross.</p>		<p>\$500,000</p>	<p>From now through April 30, 2011, Visa will match 200% of employee's personal donations to the Red Cross (includes all Red Cross and Red Crescent national affiliates and societies worldwide), up to a total of US\$500,000 for this response. For example, a US\$10 donation will result in a US\$20 matching contribution from Visa.</p>
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Wells Fargo	\$500,000		1,309,482	\$500,000	3,125,809